

## Minutes: LAUC Executive Board Conference Call

Wednesday, June 5, 2013

1:00-3:00 p.m.

---

**ACCESS:** ReadyTalk; toll-free 866-740-1260; at prompt, enter access code 2069396#

---

LAUC President Keri Botello called meeting to order at 1:01 pm.

### 1. Roll Call (K. Goodson)

**Present:** Keri Botello (President 2012-13), Nick Robinson (Vice President/President-Elect 2012-13), Mitchell Brown (Past President 2012-13), Kymberly Goodson (Secretary 2012-13), Susan Edwards (LAUC-B), Karleen Darr (LAUC-D), *No Representation* (LAUC-I), Kay Deeney (LAUC-LA), Anneliese Taylor (LAUC-SF), Jim Dooley (LAUC-M), Patricia Smith-Hunt (LAUC-R), Dave Schmitt (LAUC-SD), Anna DeVore (LAUC-SB), Angela Boyd, *joined at 2 pm* (LAUC-SB), Lucia Orlando (LAUC-SC), Dean Rowan (Parliamentarian), Jenny Reiswig (LTAG), Michael Oppenheim (CDC), Louise Ratliff (HOTS)

**Absent:** Brian Williams (LAUC-I), Cynthia Johnson (SLASIAC), Lynn Jones (HOPS), Matt Conner (Diversity), Yolanda Blue (CPG), Lisa Rowlison de Ortiz (SOPAG)

### 2. Approval of 5/21/13 Minutes (K. Goodson): Approved

### 3. Review of action items from 5/21/13 Call (K. Goodson)

- **ACTION:** Chairs/ reps for the following groups should submit their annual reports to K. Botello ASAP for loading online: **Committee on Diversity, Scholarly Communications Officers Group, HOPS, SOPAG, LTAG, and RSC** (active this year, but without a LAUC rep). **Received HOTS; still need the others.**
- **ACTION:** Board members & reps whose assembly travel is covered by LAUC should work with Gloria Alfaro ([Gloria.alfaro@ucop.edu](mailto:Gloria.alfaro@ucop.edu)) at UCOP for reimbursement **by 5/24/13. Completed.**
- **ACTION:** K. Botello will ask Lisa Rowlison de Ortiz and Elizabeth Cowell a follow-up question on who the consultants will be that were mentioned in her presentation. **In-progress.**
- One comment raised was that of liking the way that E. Cowell described the Coordinating Committee as a 'switching station' which will synchronize work across groups, rather than issuing directives. Questions arose about the driving of new initiatives: Who will be the driver? How will CoUL make decisions about which activities/pilots to initiate? Will CoUL charge SAGs to serve as driver in their areas of responsibility? How will it work if there are many drivers for UC-wide activities? **ACTION:** K. Botello will ask Lisa Rowlison de Ortiz and Elizabeth Cowell these follow-up questions. **In-progress.**
- **ACTION:** Board will finalize and send its response to CoUL by **Wednesday, June 12** (prior to CoUL's June 21/22 meeting). **In-progress. For discussion on 6/5/13 call.**
- **DECISION:** Modify last year's evaluation (still in Survey Monkey account) and distribute to this year's attendees. Add question about value of continuing to meet face-to-face once per year. **ACTION:** K. Botello & M. Brown to work on this. **Completed. M. Brown distributed the evaluation on 5/30/13. Survey closes June 16.**

### 4. Announcements/Reminders (K. Botello)

- LAUC Systemwide Elections:** Election Day was 6/3/13. Divisional results due to K. Goodson by 6/10/13. K. Goodson to notify Board of election results by 6/19/13. Vice-President/President-Elect candidate is Matt Conner (LAUC-D). Secretary candidates are Angela Boyd (LAUC-SB) and Christina Cicchetti (LAUC-R).
- UCOLASC:** On 5/31/13, K. Botello distributed UC Academic Council's request for expedited review by campuses of revisions to UCOLASC's Open Access proposal, which included letter of support from Provost Dorr. Comments due to [senatereview@ucop.edu](mailto:senatereview@ucop.edu) by July 17.
- LAUC Survey Monkey Account:** Renewed.

**d. Standing Committee Annual Reports & Ad hoc Committee or Working Group Reports:**

- **ACTION:** Final reports due to N. Robinson by 9/13/13 per 2012-13 charges for Diversity Committee, Committee on Professional Governance, and Committee on Research & Professional Development:
- **ACTION:** Nominating Committee: Final report due to K. Botello by 8/1/13.
- **ACTION:** Research & Professional Development research grant ad hoc: Final report, with recommendations, due to President (K. Botello) by August 31, 2013.
- **ACTION:** LAUC Outstanding Service Award Working Group report and final recommendations is due to K. Botello by 8/1/13.

**e. All Campus Group Reports:**

- **ACTION:** Reports submitted for Assembly in May 2013. Update reports of activities through 8/31/13 and send to N. Robinson by 9/13/13 for SLASIAC, CDC, HOTS, LTAG, and HOPS.
- **ACTION:** SOPAG: Final report of year's activities due to N. Robinson by 9/13/13.
- RSC & Shared Library Facilities Board: Inactive or had no LAUC representation. No action at this time.

**f. Appointments to SAGs:** On 5/23/13, E. Cowell notified LAUC that CoUL approved the following LAUC appointments to Strategic Action Groups in new UC Libraries Advisory Structure. Terms begin 7/1/13. SOPAG & Implementation Team planning to host series of webinars to launch groups and will contact appointees about timing. K. Botello thanked Board for its role in nominating individuals for these positions.

- SAG 1: Scholarly Research and Communication - Lisa Rowison de Ortiz (LAUC-B)
- SAG 2: Access Discovery and Infrastructure - Susan Perry (LAUC-SC)
- SAG 3: Collection Building and Management - Angela Riggio (LAUC-LA)

**g. Assembly evaluation:** Distributed Survey Monkey evaluation to all Assembly attendees on 5/30/13. Survey closes June 16.

**1. Systemwide Committees/Advisory Groups:** K. Botello thanked chairs & representatives for their service to UC and LAUC over the past year.

**a. Committee on Diversity (M. Conner):** No report at this time.

**b. Committee on Professional Governance (Y. Blue):** Reviewed divisional bylaws revisions from LAUC-SB, LAUC-M, LAUC-R, and LAUC-D.

**c. Research & Professional Development Committee (N. Robinson):** R&PD recommendations submitted to OP on 5/28/13. All applicants informed via email on 6/4/13 of R&PD recommendation to OP regarding their proposal.

- R&PD reviewed 28 grant proposals: 10 research grants, 0 mini-grants, and 18 presentation grants. During review, 3 presentation grant applications were withdrawn, leaving 15 presentation grant applications. Two research grant applicants were asked to provide additional clarification to committee and were given suggested revisions (both applicants revised & lowered requested funding amounts).
- Funds allocated by OP (\$24,300 for librarians who are members of the bargaining unit & \$8,100 for librarians who are not members of the bargaining unit) are insufficient to recommend funding all applications. R&PD recommended funding 4 research grants at amounts requested, 2 presentation grants at amounts requested, and 5 presentation grants at less than amounts requested. Committee ultimately recommended funding of \$24,300.00 for librarians in bargaining unit and \$5,223.45 for non-unit librarians.
- Two of the presentation grant proposals R&PD recommended were submitted by librarians who are not members of the bargaining unit. We recommended funding these fully. The other 5 presentation grant proposals recommended were submitted by librarians who are members of the bargaining unit. After funding recommended research grants from the \$24,300 allocated for bargaining unit members, insufficient funds will remain to fully fund these 5 presentation grants. We recommend distributing the remaining funds equally among these 5 presentation grants.
- All 5 recommended presentation grant proposals from librarians who are members of the bargaining unit occur in FY 2012-13. Committee also received 8 presentation grant proposals from members of the bargaining unit who plan to present in FY 2013-14. One was subsequently withdrawn. Committee will forward the remaining 7 proposals to 2013-14 R&PD Committee for review.

- R&PD hopes that next year's Committee will have greater flexibility to fund presentation grants that will take place in FY 2013-14.
- d. **SOPAG (L. Rowilson de Ortiz):** No report at this time.
- e. **SLASIAC (C. Johnson):** 6/11/13 agenda at <http://libraries.universityofcalifornia.edu/slasiac/meetings>. Discussion points included:
- **Open Access Discussion:** UCOLASC assessed campus comments and revised policy in March. Two major changes: Softened deposit requirement in policy & changed wording around non-commercial licenses. Re-written policy was considered by Academic Senate and now sent to campuses for expedited review (comments due by 7/11/13).
  - **AB 609:** Passed 1<sup>st</sup> side of Assembly and will go to Senate. eScholarship listed in AB 609 as possible repository. Implementation details would need to be worked out.
  - **Copyright Issues:** 2<sup>nd</sup> person to work on copyright hired at Office of General Counsel (OGC). Revised UC Policy on Copyright and accompanying detailed guidelines discussed.
  - **Online Education:** Production is very expensive, but may save money over time and it opens access more widely. Questions remain, including about registration & library resources. Interest in learning what libraries are doing to support Online Education.
- f. **HOTS (L. Ratliff):** 5/13/13 minutes are at <http://libraries.universityofcalifornia.edu/hots/meetings>. Topics discussed included reports from Melvyl, WEST, HathiTrust, NGTS, and CAMCIG. Highlights follow.
- **Melvyl:** We can display Local Holdings Records (LHRs) in WorldCat Local for serials, but not monographs, because it conflicts with "Request" function. Possible workaround might be to submit monographic LHRs for loading to OCLC WorldCat, but they wouldn't be "turned on" for WorldCat Local. This would allow users to see which parts of multi-volume sets are held by each campus, as well as shared print program titles.
  - **CAMCIG:** Discussion continued about practice of campuses indicating analysis decisions of shared-print series at series level. CAMCIG recommended against the practice for being too labor-intensive for benefits received. CDL document at [http://www.cdlib.org/services/collections/sharedprint/agreements\\_combined.html](http://www.cdlib.org/services/collections/sharedprint/agreements_combined.html) enumerates which series are in each shared-print package.
  - **RDA Toolkit:** CDL plans to negotiate consortial license for RDA Toolkit for FY2014, resulting in potential 10% discount from local campus contracts.
  - **Future of HOTS:** Need for this type of group continues, to coordinate ongoing technical services standards & processes across campuses. HOTS, perhaps combined with CAMCIG, could be formed as Common Knowledge Group. Further discussion planned for 6/10/13 call.
  - **OCLC Credits & Incentives Program:** Changes to OCLC's business model will have potential negative impacts on some campuses.
- g. **HOPS (L. Jones):** No report at this time.
- h. **CDC (M. Oppenheim):** Last meeting on 5/24/13 (agenda summary below). In-person meeting on 6/21/13.
- **Introductions & Announcements**
    - Dana Peterman, succeeding Lorelei Tanji, welcomed to committee.
    - Sharon reported on a recent visit to UCLA by Dan Cohen, Director of the Digital Public Library of America, whose organization is eager to collaborate with UC.
  - **Open Access Policy:** No new updates, but hoping for vote by UC Academic Senate in July. UC Provost Aimée Dorr appears to support investing in infrastructure needed to support the policy. RFP in progress to review 3+ vendors who can support harvesting & depository workflows for faculty papers. Hope to pilot a tool in fall at 3 campuses (incl. UCSF).
    - Journal Subvention Program: Campus updates provided. System-wide report on program to be issued at end of year.
  - **Licensing & Stewardship**
    - Biodiversity Heritage Library: CDC communicated to CoJUL its strong endorsement of continued UC participation with Biodiversity Heritage Library. Method & level of campus costs yet unclear.
    - Digitation Strategy Paper: Task force's recommended next steps outlined in document entitled "CDC\_Digitization\_Report\_Framing\_Goals" (distributed in late May).

- E-Book Value Statement: Statement ready to be publicized, including placement on Policies & Practices site at <http://libraries.universityofcalifornia.edu/cdc/documents>, among other methods.
- DDA Task Force Update: 9 campuses (+ CDL) are funding the \$200K DDA pilot. Ebrary charged to negotiate with publishers. Debate about essential goal of pilot.
- E-Book Package V. Title-by-Title Purchasing Criteria: Discussed document entitled "Package vs. Title-by-Title Purchasing Consideration for E-Monographs: Revised Draft for CDC Discussion – Draft – 8.14.12; rev. 5.22.13," which CDC enthusiastically endorses.
- Browzine Evaluation Task Force Recommendation: TF recommended CDL pursue system-wide Browzine license, with optional campus opt-in. Ex Libris technical issues remain, with completion targeted for 4<sup>th</sup> quarter of 2013. Participating campuses obligated to provide local IT support. CDC strongly endorses TF recommendations and continued TF inclusion in new advisory group structure.
- **CDC in-person planning:** 9:30-3:30 on 6/21/13. Major agenda items to include e-book strategies, new bibliographer roles, and transitioning to new advisory structure.

i. **LTAG (J. Reiswig):** No call since May 2013. Disposition of group is unclear in new advisory structure. Expect to have one final call before disbanded later this summer.

## 2. Continuing Business:

- b. **LAUC Response to CoUL Plan & Priorities:** Briefly discussed Drafts 4 (without bullets from Assembly) & 5 (with bullets from Assembly) prepared by K. Goodson from input gathered at Assembly. **DECISION:** Board approved Draft 5 with the following edits:
- Change "system-wide" to "systemwide."
  - Change "Plans" in *Plans & Priorities* to "Plan."
  - Add clause in background section to indicate that bullets are included in appendix.

**ACTION:** K. Goodson will make edits outlined above. **Completed 6/5/13.** Board may offer final comments until noon on **Monday, June 10.** **None received.** Thereafter, **Goodson** will resend final document to Board, so **K. Botello** can forward it to Ginny Steele/CoUL and JoAnne Miller (UCOP) on the afternoon of **June 10.**

**Completed.** **Botello** will craft an accompanying cover letter. Divisional Chairs will also forward the final document to their memberships on the afternoon of **June 10.** **Completed.**

- c. **LAUC Outstanding Service Award task group (M. Brown):** Brown thanked working group for its efforts: Mitchell Brown (Chair, LAUC-I), Michael Yonezawa (LAUC-R), Maureen Russell (LAUC-LA), and Dean Rowan (Parliamentarian, LAUC-B).

**ACTION:** **M. Brown** will revise and clarify the proposal (criteria, eligibility, process, etc.) per Board suggestions below and redistribute it to the Board by **6/20/13** for renewed discussion on the 7/3/13 call about whether and how the award might move forward.

- Group submitted proposal to Board on 6/3/13 for award designed to honor a UC librarian who has demonstrated excellence at Divisional & Systemwide levels within the following criteria:
  - Outstanding & creative contributions as evidenced by major impact on professional governance.
  - Sustained excellence in serving the Librarians Association of the University of California.
  - Exceptional abilities in working with different university constituents effectively.
- Board responded to proposal, raising questions and concerns both from Board members, and from LAUC-B UL and divisional membership.
- Discussion also covered whether Board had already approved establishment of this type of award or was still exploring and considering it. Past minutes show the award idea to continue to be under investigation and not yet formally approved to move forward.
- It was noted that some campuses currently have similar or related awards locally, while others do not.
- **Questions raised included:**
  - How much interest in this type of award is expected among divisional memberships? Do the chairs have any sense of possible interest from their divisions?
  - Will implementation of such an award be well received and appreciated among the membership?
  - Is overhead of such an award worth the effort and expected outcome?
  - Will feedback be sought from the full membership at some point?

- **Concerns raised included:**
    - Concerns raised, from small campuses and others, about workload issues and the time needed for nominating individuals, evaluating nominations, serving on Award Committee, etc. Several mentioned likely difficulty among their memberships in finding time to be able to actively participate in this new initiative or taking the needed steps to nominate colleagues, however deserving.
    - Concerns also raised by Parliamentarian about functional mechanics of the committee, including whether it is designated as standing or ad hoc. The difference between these 2 types of groups was explained. If the group continues year after year, it should be designated as a standing committee, which would require bylaws revision. If committee tackles its work in a discrete amount of time and then is disbanded, it could be an ad hoc committee, which would not require a bylaws revision. Establishing an ad hoc committee that continues year after year would be a misuse of these designations.
    - It was suggested that the award and its corresponding committee could be put in place as a 1-2 year pilot initially. If it is fully adopted after that time, any desirable modifications could be made, it could become an official standing committee, and the bylaws could be revised appropriately.
  - **Clarifications requested include:**
    - Award Committee membership (beyond Past-President) and how those 2 individuals are identified, including whether one should be from northern and one from southern campuses, could both members be from the same campus, can the same person serve year after year, etc.?
    - Can someone win the award who comes from the same campus as either Award Committee chair (Past President) or the other Award Committee members?
    - Must all 3 criteria be met for nomination, or just one of the 3?
    - Whether award is intended to recognize service to LAUC or to the overall librarian profession.
    - Better define “professional governance” in #1. Does this include ALA governance or is it specific to LAUC?
    - Use of “sustained” in #2 could be confusing, because eligibility prohibits those who’ve served on LAUC Executive Boards during the immediate 3 years prior to nomination from being nominated or considered.
    - “Library” should be added to criteria #3 to clarify that this criterion could include work across the UC libraries. Use of “university constituents” was thought by some to mean various constituent groups (faculty, students, etc.) on one’s campus.
- d. **Research & Professional Development research grant ad hoc (N. Robinson):** Report expected for July call.

**1. New Business:**

- a. **Change date of next call from July 3 to July 10?** Call will remain on July 3 from 1-3 pm. **ACTION:** **Divisional Chairs** who cannot attend should request that their Vice-Chairs attend, if possible.
- b. **LAUC response/letter of support to Open Access Proposal (see item 4b in these minutes):** Board agreed against sending a LAUC response to the proposal, in large part because of Library’s conviction that this **faculty** initiative required wide **faculty** support.
- c. **Open divisional appointments for standing committees:** Appointments begin 9/1/13.
  - **Committee on Diversity:** Berkeley, Davis, Los Angeles, Merced, Riverside, and Santa Cruz.
  - **Committee on Professional Governance:** Riverside, San Francisco, Santa Barbara, and Santa Cruz.
  - **Committee on Research & Professional Development:** Irvine, San Francisco, San Diego, and Santa Cruz.

**2. Future Action/Discussion Items for Board:**

- a. **[July call]** Scheduling & planning for Board Transition Meeting, likely in August.
- b. **[Upcoming year]** Systemwide bylaws may need to be comprehensively reviewed and updated in the near future.

**3. Campus Round Robin**

<b>UCB:</b>	3 librarian positions recently advertised ( <a href="http://www.lib.berkeley.edu/LHRD/librec.html">http://www.lib.berkeley.edu/LHRD/librec.html</a> ). Also announced that Jean McKenzie (current head of Engineering Library) will be Acting AUL for Collections (2-year appointment).
<b>UCD:</b>	UL MacKenzie Smith will present new library organizational design in Town Hall meeting to staff on 6/11/13.

<b>UCI:</b>	AUL for Research Resources position filled (merged collection development and technical services); John Renault to start 7/15/13.
<b>UCLA:</b>	No report at this time.
<b>UCM:</b>	In-person interviews in June for Digital Communications Librarian. Bylaws revisions approved by CPG.
<b>UCR:</b>	2 new librarians: Digital Initiatives & Technical Services Librarian for Water Resources Collections & Archives, and Chemistry/Biochemistry Librarian for Orbach Science Library reference department. Interviews for Instruction Coordinator/Reference Librarian in Rivera Library completed this week.
<b>UCSD:</b>	<p>A lot of changes at UCSD. Head of Social Sciences &amp; Humanities Library (and head-to-be of Reference &amp; Research Advisory Program), Patrick McCarthy, moving to job at SDSU. Head of former SIO Library, Peter Brueggeman, retiring next month. These departures bring number of LAUC members leaving UCSD since February 2013 to 6.</p> <p>New assignments in reorganization were announced. UL also announced several recruitments: 8 permanent librarian recruitments approved (Data Services, Humanities, Life &amp; Health Sciences Subject Librarian &amp; Collection Coordinator, Japanese &amp; Korean Studies, Digital Archivist, Instructional Design/Technology, Consulting Metadata Specialist, University Archivist) and 4 temporary (2-year) librarian recruitments approved (2 Instruction Librarians, Metadata Analyst, Project Archivist). More info to come as things are very unsettled and still being worked on. New assignments and programs to officially take effect on July 1, but we expect up to a year of transition time as we figure things out.</p>
<b>UCSF:</b>	No report at this time.
<b>UCSB:</b>	Groundbreaking for library addition set for mid-June. Technical Services staff will move to surge space outside library over 1 <sup>st</sup> weekend in June.
<b>UCSC:</b>	No report at this time.

**Meeting adjourned at 2:29 pm.**

<b>Next Exec Board call</b>	<b>Wednesday, July 3 (1-3 pm)</b>
-----------------------------	-----------------------------------

*Minutes submitted by Kymberly Goodson, 6/5/13*