

END OF FUNDING PERIOD REPORT

LAUC Statewide Grants, Research & Professional Development Committee

Primary Applicant(s): Cathy Chiu

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TITLE OF PROJECT: Open Access Policy in the University of California and the Digital Library at UCSB

Time Period of Grant: July 3-7, 2014

Amount of Award Received: \$500

Original Abstract as Submitted:

Open access has become one of the major trends in scholarly communication in recent years. The University of California is one of the many academic universities which have issued the open access policy. While the principal of open access is generally based on sustainability, accessibility, and impact of scholarship, the implementation of the open access policy has varied from institution to institution.

UC Academic Senate initiated the open access policy. It went through a comprehensive review period among the faculty members in the 10 UC campuses. There were various issues, doubts, and skeptics. There were also strong advocates and supporters. In the end, the policy was adopted in July 2013 followed by the implementation.

Locally, UCSB Library Scholarly Communication Committee has played an important role in promoting open access, educating the campus community on related issues as well as advising on the implementation of the open access policy. The UCSB Library University Librarian provides leadership in reviving UCSB's internationally renowned Alexandria Digital Library to host its digital collections and open access contents.

I. ACCOMPLISHMENTS and EVALUATION

- Describe what was achieved during the time period of the grant.
I presented the paper at the paper at the 2014 International Conference on Chinese Digital Publishing and Digital Libraries (CDPDL), which was held from July 3-7, 2014 in Shandong Province, China hosted by Tsinghua University Library, University of Hong Kong Library, as well as Chinese Academic Journal Electronic Library Publishing House.
- What aspects were completed as proposed? If your study could not be completed as proposed, explain how your plans were altered.
My paper covered the history and issues surrounding the UC open access policy and the implementation of the policy at UCSB. The Alexandria Digital Library at UCSB was one of the very first digital libraries. It attracted visitors from around the world, especially librarians from China. The UCSB Library, under the direction of the University Librarian, has put in place a plan to revive the Alexandria Digital Library to host its many digital collections and open access content. I presented the history of ADL and its transformation to the new platform.

- Did the project accomplish what it intended? Did it make a difference?
 - Include any relevant quantitative data, if applicable (e.g. How many individuals have benefited from this project? In what way? This may include various output measures such as circulation, reference transactions, program attendance, survey responses, etc. as appropriate.)

The presentation was attended by more than three hundred librarians from many countries, including U.S., China, Taiwan, Germany, France, and Africa. It reached librarians and library administrators, effectively promoting the understanding of UC's open access policy and its implementation at UCSB and the revival of the Alexandria Digital Research Library.

- What would you do differently next time, if anything?
Open access and digital libraries are both topics which have been widely addressed. My presentation added to existing literature and recalled many memories about the once well-known Alexandria Digital Library, but would have even been better if it focused on new dimension which were not addressed by others before.
- What advice do you have for others applying for LAUC research grants?
Libraries world-wide are advanced in a rapid pace. Some new innovative ideas in the U.S. may have been well explored elsewhere. It is very important to know to what extent these new ideas have been researched and studied in the countries where the audience come from in order to focus on the areas which are relatively new to them.

II. IS YOUR PROJECT COMPLETED? Yes x No

If No, what is needed to complete the project? Is more time needed? Or more funds?

III. FINANCIAL STATEMENT

Please explain how the funds received were spent. Attach your original budget and indicate how well your estimates matched with actual expenditures. Receipts are not necessary.

Ground transportation:	\$84.00 (to and from the Los Angeles airport)
Airplane Tickets	\$932.00 (LAX to Beijing)
	\$150.00 (Beijing to Shandong)
Registration:	\$100.00
Lodging:	\$300.00
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Total	\$1566.00

The estimate matched the actual expenditure.

IV. SHARING YOUR PRODUCT/RESULTS

What are your plans for disseminating the results of your work? If it will be a web page or product, or published article or book, when will it be available to the public? Include citations/URLs if known.

The presentation does not result in written publication. The Power Point slides are available upon requests.

V. NOTE

Information included in this report may be reprinted or posted on the web for dissemination to UCOP, other UC Libraries, and future potential LAUC grant applicants.